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## BIB DATA SHEET

CONFIRMATION NO. 7085

SERIAL NUMBER	FILING or 371(c) DATE RULE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
10/686,462	10/15/2003	705	3691	124256.0401

## APPLICANTS

Steven Kirk Donoho, Chantilly, VA;  
 Patrick Kiplinger Hyde, Falls Church, VA;  
 Nimish Sheth, Falls Church, VA;  
 Anil Sesha Kumar Venuturupalli, Fairfax, VA;

## \*\* CONTINUING DATA \*\*\*\*\*

This appln claims benefit of 60/453,112 03/07/2003

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## \*\* IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\*

01/15/2004

Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		VA	38	41	3

## ADDRESS

PEPPER HAMILTON LLP  
 ONE MELLON CENTER, 50TH FLOOR  
 500 GRANT STREET  
 PITTSBURGH, PA 15219  
 UNITED STATES

## TITLE

Method and system for the protection of broker and investor relationships, accounts and transactions

FILING FEE RECEIVED 1148	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
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